

RTS 28 Top 5 Venues Report - Retail Clients

| Class of Instrument | (a) Equities - Shares and Depository Receipts: (i) Tick size liquidity bands 5 and 6 | | | | | |
|---|--|--|------------------------------|---------------------------------|-------------------------------|--|
| Notification if < 1 average trade per business day in the previous year | N | | | | | |
| Top five execution venues ranked in terms of trading volumes (descending order) | Proportion of volume traded as a percentage of total in that class | Proportion of orders executed as percentage of total in that class | Percentage of passive orders | Percentage of aggressive orders | Percentage of directed orders | |
| LONDON STOCK EXCHANGE XLON | 98.28 | 94.32 | | | | |
| LONDON STOCK EXCHANGE - AIM MTF AIMX | 1.52 | 2.27 | | | | |
| LONDON STOCK EXCHANGE - MTF XLOM | 0.10 | 2.27 | | | | |
| OFF-EXCHANGE TRANSACTIONS - LISTED INSTRUMENTS XOFF | 0.10 | 1.14 | | | | |

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|---|---|--|------------------------------|---------------------------------|-------------------------------|
| Class of Instrument | (a) Equities - Shares and Depositary Receipts: (ii) Tick size liquidity bands 3 and 4 | | | | |
| Notification if < 1 average trade per business day in the previous year | N | | | | |
| Top five execution venues ranked in terms of trading volumes (descending order) | Proportion of volume traded as a percentage of total in that class | Proportion of orders executed as percentage of total in that class | Percentage of passive orders | Percentage of aggressive orders | Percentage of directed orders |
| LONDON STOCK EXCHANGE - AIM MTF AIMX | 70.99 | 39.29 | | | |
| LONDON STOCK EXCHANGE XLON | 29.01 | 60.71 | | | |

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|---|--|--|------------------------------|---------------------------------|-------------------------------|--|
| Class of Instrument | (a) Equities - Shares and Depositary Receipts: (iii) Tick size liquidity bands 1 and 2 | | | | | |
| Notification if < 1 average trade per business day in the previous year | N | | | | | |
| Top five execution venues ranked in terms of trading volumes (descending order) | Proportion of volume traded as a percentage of total in that class | Proportion of orders executed as percentage of total in that class | Percentage of passive orders | Percentage of aggressive orders | Percentage of directed orders | |
| LONDON STOCK EXCHANGE - AIM MTF AIMX | 99.72 | 65.32 | | | | |
| LONDON STOCK EXCHANGE XLON | 0.28 | 34.68 | | | | |

| Class of Instrument | (b) Debt instruments (i) Bonds | | | | | |
|---|--|--|------------------------------|---------------------------------|-------------------------------|--|
| Notification if < 1 average trade per business day in the previous year | N | | | | | |
| Top five execution venues ranked in terms of trading volumes (descending order) | Proportion of volume traded as a percentage of total in that class | Proportion of orders executed as percentage of total in that class | Percentage of passive orders | Percentage of aggressive orders | Percentage of directed orders | |
| BLOOMBERG TRADING FACILITY LIMITED BMTF | 94.52 | 0.24 | | | | |
| EUREX DEUTSCHLAND XEUR | 5.47 | 99.44 | | | | |
| ICE FUTURES EUROPE - FINANCIAL PRODUCTS DIVISION IFLL | 0.01 | 0.32 | | | | |

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|---|---|--|------------------------------|---------------------------------|-------------------------------|--|
| Class of Instrument | (e) Currency derivatives (ii) Swaps, forwards, and other currency derivatives | | | | | |
| Notification if < 1 average trade per business day in the previous year | N | | | | | |
| Top five execution venues ranked in terms of trading volumes (descending order) | Proportion of volume traded as a percentage of total in that class | Proportion of orders executed as percentage of total in that class | Percentage of passive orders | Percentage of aggressive orders | Percentage of directed orders | |
| OFF-EXCHANGE TRANSACTIONS - LISTED INSTRUMENTS XOFF | 100.00 | 100.00 | | | | |

| Class of Instrument | (g) Equity Derivatives (i) Options and Futures admitted to trading on a trading venue | | | | | |
|---|---|--|------------------------------|---------------------------------|-------------------------------|--|
| Notification if < 1 average trade per business day in the previous year | N | | | | | |
| Top five execution venues ranked in terms of trading volumes (descending order) | Proportion of volume traded as a percentage of total in that class | Proportion of orders executed as percentage of total in that class | Percentage of passive orders | Percentage of aggressive orders | Percentage of directed orders | |
| ICE FUTURES EUROPE - EQUITY PRODUCTS DIVISION IFLO | 86.94 | 91.75 | | | | |
| EUREX DEUTSCHLAND XEUR | 11.67 | 2.47 | | | | |
| ICE FUTURES EUROPE - FINANCIAL PRODUCTS DIVISION IFLL | 1.26 | 5.77 | | | | |
| EURONEXT PARIS MONEP XMON | 0.13 | 0.01 | | | | |
| ICE FUTURES EUROPE IFEU | 0.00 | 0.00 | | | | |

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|---|--|--|------------------------------|---------------------------------|-------------------------------|--|
| Class of Instrument | (i) Commodities derivatives and emission allowances Derivatives (i) Options and Futures admitted to trading on a trading venue | | | | | |
| Notification if < 1 average trade per business day in the previous year | N | | | | | |
| Top five execution venues ranked in terms of trading volumes (descending order) | Proportion of volume traded as a percentage of total in that class | Proportion of orders executed as percentage of total in that class | Percentage of passive orders | Percentage of aggressive orders | Percentage of directed orders | |
| ICE FUTURES EUROPE IFEU | 93.60 | 97.40 | | | | |
| LONDON METAL EXCHANGE XLME | 4.97 | 0.47 | | | | |
| ICE FUTURES EUROPE - AGRICULTURAL PRODUCTS DIVISION IFLX | 1.43 | 2.13 | | | | |

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| Class of Instrument | (k) Exchange Traded Products (Exchange Traded Funds, Exchange Traded Notes and Exchange Traded Commodities) | | | | | |
| Notification if < 1 average trade per business day in the previous year | N | | | | | |
| Top five execution venues ranked in terms of trading volumes (descending order) | Proportion of volume traded as a percentage of total in that class | Proportion of orders executed as percentage of total in that class | Percentage of passive orders | Percentage of aggressive orders | Percentage of directed orders | |
| LONDON STOCK EXCHANGE XLON | 52.63 | 33.33 | | | | |
| NEX EXCHANGE TRADING (EQUITY) NEXT | 47.37 | 66.67 | | | | |